

1 **Climate Change Impacts on Coastal and Marine Ecosystems and the**
2 **Potential Role of the National System of MPAs**

3 **A Primer and Guide for Members of the**
4 **Marine Protected Areas Federal Advisory Committee**
5 **[Produced by the MPA FAC Scientific and Technical Subcommittee]**
6

7 **I. Introduction**

8 The Marine Protected Areas Federal Advisory Committee (MPA FAC) has been asked to
9 address how climate change¹ may affect the marine ecosystems² of the U.S. and what role the
10 National System of Marine Protected Areas (MPAs) may play in protecting the marine resources
11 of our nation from the impacts of climate change.

12 This paper is intended to serve as 1) a climate change primer for members of the MPA FAC, and
13 2) an examination of the relationship between climate change and the National System of MPAs.
14 It is primarily a literature review of published peer-reviewed scientific papers on the topic of
15 how climate change is affecting, and will continue to affect, marine ecosystems with cited
16 references included for substantiation. The intent of this paper is to help inform the MPA FAC
17 of the pertinent climate change issues as they relate to the design and implementation of the
18 National System of MPAs. The ultimate objective of this work is to produce a set of
19 recommendations regarding these issues that will be delivered to the Secretaries of the
20 Departments of Interior and Commerce for their due consideration.

21 From an investigation of peer-reviewed scientific literature on the impacts of climate change on
22 our nation's marine environments and resources, as well as the April 2009 MPA FAC
23 recommendations entitled *Ecological Resilience and Gap Analysis of the National System of*
24 *Marine Protected Areas*,³ we conclude that:

- 25 1. Climate change is a serious threat to the marine environment and its resources.
- 26 2. The impacts of climate change are increasing in intensity and are predicted to have
27 profound effects on our marine resources and ecosystems in the near-, mid- and long-
28 term future.
- 29 3. Marine ecosystems have already been degraded by a wide range of stressors induced or
30 caused by human activities.
- 31 4. Degraded ecosystems are less likely to be resilient to the effects of climate change than
32 healthy, fully-functional ecosystems.

¹ Throughout this document the term 'climate change' when used alone is intended to refer to all of the primary, secondary and tertiary effects of climate change in and on the ocean (e.g. warming, melting ice, sea-level rise, altered upwelling and currents, coastal erosion, changing weather patterns, salinity changes) and ocean acidification.

² The use here of the term 'marine ecosystems' is intended to refer to ocean, marine and coastal ecosystems and their constituents (e.g. habitats, species, populations, and living resources such as fish stocks).

³ "Ecological resilience is the capacity of an ecosystem or natural population to resist or recover from major changes in structure and function following natural and human-caused disturbances, without undergoing a shift to a vastly different regime that is undesirable and very difficult to reverse from a human perspective." (MPA FAC 2009).

- 33 5. Eliminating or ameliorating human-caused stressors (under management control) on
34 marine ecosystems potentially will improve their resilience thereby increasing the
35 capacity for ecosystems to resist and recover from the impacts of climate change.
36 6. MPAs have the potential to play an important role in maintaining and restoring
37 ecosystem resilience, protecting biodiversity and creating refugia from climate change
38 impacts.
39 7. Climate change is altering the physical dynamics of the ocean and the marine ecosystems
40 of the U.S. MPA management should strive to anticipate these physical changes and
41 adaptively manage MPA systems to effectively cope with predicted changes.

42

43 **II. Climate Change Impacts on Marine Ecosystems:** 44 **An Overview**

45 Marine ecosystems have been exposed to long-term human impacts, in some cases for centuries.
46 In recent decades, the impacts of climate change have become additional sources of stress on the
47 ocean. These impacts are projected to become more severe during the 21st Century (IPCC
48 2007a). Since the onset of the industrial revolution, the amount of carbon dioxide (CO₂) in the
49 atmosphere has risen steadily. Over one-half of all human-caused carbon emissions over the past
50 200 years have been absorbed by the ocean, which, through basic chemistry, has caused a 30%
51 increase in the acidity (decrease in pH) of sea water (IPCC 2007b). Further, the rise in
52 atmospheric CO₂ has amplified the atmosphere's greenhouse effect and led to substantial
53 warming of the planet in the last few decades. That warming has resulted in numerous
54 secondary and tertiary physical manifestations, such as melting sea ice and glaciers, sea-level
55 rise, altered rainfall patterns, increased storm intensity, and changes in ocean currents.

56 Long-standing human-caused stressors on marine ecosystems have been widely demonstrated to
57 cause numerous undesirable changes in living systems (IUCN-WCPA 2008), including:

- 58 • loss of biodiversity,
- 59 • decreased abundance of key species,
- 60 • structural damage to living and nonliving habitats, and
- 61 • loss of ecological functions, resulting in reduced resilience to additional stresses.

62 Reduced ecosystem resilience is of particular concern because of the anticipated impacts of
63 climate change in the ocean, which are predicted to be potentially catastrophic for particular
64 ocean ecosystems, habitats and species. The resilience of ecosystems – the capacity to withstand
65 stress and recover from such impacts – is believed to be crucial to their functioning, persistence
66 and viability (Walker and Salt 2006). Degraded ecosystems (i.e., those that have lost
67 biodiversity, ecological functions or structural integrity) are expected to be less resilient, and,
68 therefore, to have less capacity to withstand the additional stresses of climate change (Hughes *et*
69 *al.* 2005). Conversely, those ecosystems most likely to withstand and recover from the stresses
70 of climate change are those that have been least degraded or have been restored.

71 Theory suggests that reducing the stressors acting on an area can help maintain ecosystem
72 integrity, population viability, and the health of organisms, and foster recovery from adverse

73 impacts. Removing some stressors (e.g., through establishment and management of MPAs) is
74 considered to be an important tool to build the resilience of ecosystems and populations in the
75 face of climate change. So far, some empirical research has confirmed this relationship, and
76 some has not (Sandin *et al.* 2008). Regardless, it is important to note that MPAs are only part of
77 a broad suite of tools that are needed to fully maintain and restore the resilience of marine
78 ecosystems to a variety of stressors.

79 The efficacy of designing and managing MPAs, networks of MPAs and the National System of
80 MPAs, as tools that may enhance resilience, must be carefully examined through attention to
81 general ecological criteria, such as connectivity or representativeness, that improve the
82 effectiveness of MPAs, as well as criteria that specifically address the expected effects of climate
83 change. However, while MPAs may have a key role to play in assisting the adaptation of marine
84 ecosystems to climate change, it is important to realize that they are not a tool that can assist in
85 mitigating greenhouse gasses.

86

87 **III. Current and Anticipated Climate Change Impacts on the** 88 **Marine Ecosystems of the United States**

89 The 2007 report of the Intergovernmental Panel on Climate Change (IPCC) found that global
90 concentrations of CO₂, methane and nitrous oxide have increased as a result of human activities
91 since 1750, and, today, levels exceed those measured from ice-core samples reaching back
92 hundreds of thousands of years. According to the IPCC, “warming of the climate system is
93 unequivocal, as is now evident from observations of increases in global average air and ocean
94 temperatures, widespread melting of snow and ice, and rising global average sea level.” The
95 U.S. Global Change Research Program (www.globalchange.gov) also concluded that global
96 warming is occurring, resulting in widespread climate-related impacts in the U.S., and that global
97 climate change imposes specific stresses on coastal and ocean environments. At the national
98 level, some of the effects of climate change include (verbatim from Karl *et al.* 2009):

99

- 100 ▪ U.S. average temperature has risen more than 2°F over the past 50 years and is projected
101 to rise more in the future.
- 102 ▪ The amount of rain falling in the heaviest downpours has increased approximately 20%
103 on average in the past century, and this trend is very likely to continue, with the largest
104 increases in the wettest places.
- 105 ▪ The destructive energy of Atlantic hurricanes has increased in recent decades. The
106 intensity of these storms is likely to increase in this century.
- 107 ▪ In the eastern Pacific, the strongest hurricanes have become stronger since the 1980s,
108 even while the total number of storms per year has decreased.
- 109 ▪ Sea level has risen along most of the U.S. coast over the last 50 years, and will rise more.
- 110 ▪ Cold-season storm tracks are shifting northward and the strongest storms are likely to
111 become stronger and more frequent.
- 112 ▪ Arctic sea ice is declining rapidly and this is very likely to continue.
- 113

114 Oceans play a major role in regulating climate conditions, and changes in atmospheric conditions
115 can have profound effects on the functioning of ocean ecosystems. Ocean warming and ocean
116 acidification can result in lasting change which may be difficult, and in some cases impossible,
117 to reverse. ***Both natural and human-caused climate change impacts should be considered in***
118 ***the design and implementation of the National System of MPAs, so that we may prepare to***
119 ***address these threats and respond through an adaptive ecosystem-based approach.*** By
120 understanding these challenges we may be better able to adapt to changing habitat conditions,
121 maintain the integrity of marine ecosystems, and increase the resilience of the oceans and coasts
122 of the U.S.

123 ***Physical Changes in the Oceans Resulting from Climate Change***

124 Physical changes manifesting from climate change have the potential to dramatically reshape
125 ocean dynamics, affecting the function and structure of marine ecosystems and resources. The
126 following is a brief overview of some of the major physical changes occurring or expected in our
127 oceans as a consequence of climate change.

128 **Ocean Temperature Changes**

129 The oceans have absorbed over 80% of the heat added to the climate system (IPCC 2007a).
130 Rising temperatures in the atmosphere and oceans is resulting in a number of broad-scale effects,
131 including: melting polar sea ice; increased sea level rise due to the thermal expansion of water
132 and glacial and ice sheet melt; shifting species distribution and abundance; shifting weather
133 patterns; coral bleaching and mortality; an increase in the frequency and intensity of tropical
134 storms; and may potentially release large quantities of carbon in the form of methane hydrates
135 from the sea floor (longer-term) (WBGU 2006).

136 **Changes in Ocean Current Patterns**

137 Changes in ocean circulation are predicted to have profound effects on marine ecosystems. At
138 the global level, thermal stratification is expected to increase, which may reduce upwelling and
139 primary productivity (Hoffman *et al.* 2009). In coastal areas and margins, this shift could result
140 in oxygen deficiency, a loss of habitats and biodiversity, and changes in the distribution of
141 species. Such effects have been witnessed along the California Current, where the wind-driven
142 upwelling of deeper, nutrient-rich waters formerly fostered highly productive coastal ecosystems.
143 Variations in this process caused by El Niño events have substantially reduced the productivity
144 of salmon and other fishes (Karl *et al.* 2009). However, there is uncertainty in exactly how
145 ocean currents will be altered by a warming atmosphere and ocean.

146 **Ocean Acidification**

147 Ocean acidification is expected to affect all regions of the U.S., though variations in regional
148 trends are difficult to determine. The pH and carbonate saturation state of the ocean affects the
149 growth and viability of a range of marine organisms by affecting processes including
150 calcification, photosynthesis, respiration, nitrogen fixation, and reproduction (reviewed e.g., in
151 Doney *et al.* 2009, Guinotte and Fabry 2008). Effects on calcification have received the most
152 attention thus far, but the ecological importance of other effects relative to pH level and its
153 impacts on the rates of a broad range of physiological processes has not been determined. In
154 addition to direct effects of ocean acidification, there is the potential for major secondary effects
155 on marine food webs and ecosystems (e.g., Orr *et al.* 2005).

156 The high CO₂ waters in polar and upwelling regions such as the eastern Pacific and Bering Sea
157 will experience acidification more rapidly than other regions, affecting many cold water corals.
158 Tropical waters will also experience rapid declines in carbonate ions critical for coral reef
159 construction. By 2100, some estimate that 70% of corals will be in waters unfavorable for
160 growth (IAP 2009).

161 An additional concern relates to potential feedback mechanisms from the added uptake of CO₂
162 from the atmosphere by the oceans. Feedback mechanisms have the potential to further disrupt
163 the planet's climate and adversely affect marine ecosystems. For example, increased
164 acidification of the oceans could reduce the occurrence of plankton blooms, which extract CO₂
165 from the atmosphere. With less CO₂ being absorbed by the ocean, more greenhouse gases would
166 be available in the atmosphere and global warming would be accelerated, which would in turn
167 increase the warming of the ocean and further exacerbate climate change impacts.

168 **Sea Level Rise**

169 Specific to the U.S., sea level has risen along most of the coast over the past 50 years. Sea level
170 rise will worsen coastal erosion and storm surge, thereby negatively affecting many low-lying
171 and coastal areas along the Atlantic and Gulf Coasts, the Pacific Islands, and parts of Alaska.
172 According to the recent report of the U.S. Global Change Research Program (Karl *et al.* 2009),
173 “even a 2-foot rise in relative sea level over a century would result in the loss of a large portion
174 of the nation’s remaining coastal wetlands, as they are not able to build new soil at a fast enough
175 rate. Accelerated sea-level rise would affect seagrasses, coral reefs, and other important habitats.
176 It would also fragment barrier islands, and place into jeopardy existing homes, businesses, and
177 infrastructure, including roads, ports, and water and sewage systems. Portions of major cities,
178 including Boston and New York, would be subject to inundation by ocean water during storm
179 surges or even during regular high tides.”

180 **Shifts in Precipitation Patterns**

181 Overall, rainfall is anticipated to become more concentrated and intense (i.e., heavier
182 downpours), with longer periods of drought between events. Precipitation runoff is an important
183 factor in the functioning of some coastal ecosystems, influencing sediment levels, nutrient
184 content, eutrophication levels and the occurrence of dead zones in the ocean. In some areas, the
185 amount of sediment released through runoff has been so great that it has smothered corals or
186 other sensitive sea life. As precipitation patterns change so too will the quantity, quality and
187 timing of runoff. For example, in areas where snowpack dominates, it is anticipated that runoff
188 will occur earlier in the spring, with lower flows later in the summer (Karl *et al.* 2009).

189 **Changes in Storm Intensity**

190 More intense hurricanes, wind, rain and storm surge events are anticipated in some areas of the
191 U.S. and surrounding coastal waters, with drier conditions expected in the Southwest and
192 Caribbean. Since 1970, the intensity of Atlantic tropical storms has increased with increasing
193 sea surface temperatures, and it is likely that this trend will continue, accompanied by more
194 extreme wind and higher ocean waves. In the eastern Pacific, the intensity of hurricanes has
195 grown even though the frequency of the storms has decreased. Storm tracks are projected to
196 shift toward the poles in both hemispheres (Karl *et al.* 2009). Storm surge is a significant hazard
197 for coastal areas and habitats like coral reefs, seagrass beds, mangrove forests, and salt marshes,

198 and a change in their frequency and/or intensity will affect many coastal ecosystems. Further,
199 particularly for low-lying island areas, tidal inundation due to rising sea levels may intensify.

200

201 **IV. Cumulative Stresses and Ecosystem Resilience**

202 Ecosystems can be characterized by their structure, processes, functions, dynamics and
203 condition, and, like all biological systems, have some emergent capacity for apparent self-
204 regulation, organization, and adaptation. The integrity of an ecosystem is related to its condition
205 - a loss of structure, processes, or functions compared to the 'norm' or historical state implies a
206 degraded condition and compromised integrity. Thus, ecosystem integrity is often defined as a
207 state in which the species composition, community structure, functional relationships, ecological
208 processes and adaptability that are characteristic of natural ecosystems is unaffected or
209 unimpaired by human stresses.

210 The condition of an ecosystem is a determinant of its capacity to deliver ecosystem goods and
211 services that we rely upon, which can be placed in one of four categories:

- 212 1) provisioning of food or other resources,
- 213 2) regulating processes such as water filtering, waste decomposition, and carbon
214 sequestration,
- 215 3) supporting processes such as larval dispersal that replenishes fish populations, and
- 216 4) cultural opportunities such as recreation or aesthetics.

217 Given that many ecosystems are under stress from multiple human impacts, and therefore are in
218 varying degrees of diminished ecosystem integrity, it is important to understand what determines
219 how stresses individually and cumulatively affect ecosystems and their ability to recover.

220 Ecological resilience refers to the capacity of an ecosystem to resist stress and recover from
221 fundamental changes in its defining characteristics (Walker and Salt 2006). Specifically,
222 *ecological resilience is the capacity of an ecosystem or natural population to resist or recover*
223 *from major changes in structure and function following natural and human-caused disturbances,*
224 *without undergoing a shift to a vastly different regime that is undesirable and very difficult to*
225 *reverse from a human perspective* (MPA FAC 2009). Regime shifts, such as coral-dominated
226 reefs becoming algal-dominated, or kelp forests becoming urchin barrens, have been repeatedly
227 and widely documented in ecosystems stressed by excessive fishing, nutrient inputs, and other
228 stressors (Hughes *et al.* 2005). An unexpected and unfortunate characteristic of many regime
229 shifts is that they may not spontaneously reverse once the stresses that caused the shift are
230 removed, meaning that the degraded state may persist.

231 Maintaining and enhancing resilience is critical to maintaining the ecological services we want
232 and need (Levin and Lubchenco 2008). While an ecosystem that has undergone a regime shift
233 will continue to deliver some ecosystem services, those services will most likely not be the ones
234 we need or desire (e.g., the availability of reef fish to consume).

235 An individual organism whose health has been compromised by disease is less likely to
236 withstand a new stress without its health declining further. Similarly, an ecosystem that has been
237 degraded by human stresses is less resilient in the face of additional or new stresses such as

238 climate change, and may undergo a potentially catastrophic and irreversible shift. It is possible
239 that the severity of climate change will eventually make it impossible for human interventions to
240 prevent most coral reefs from undergoing regime shifts to algal reefs (Hoegh-Guldberg *et al.*
241 2007), and the same may be true for other ecosystem types. However, because we desire and
242 depend on certain ecosystem goods and services delivered by the oceans, we have a strong
243 interest in keeping those ecosystems in their current state (e.g., tropical reefs dominated by corals
244 and temperate reefs dominated by kelp forests).

245 Virtually every ocean ecosystem on the planet has been affected by a variety of human stressors
246 (Halpern *et al.* 2008), and, therefore, may have suffered loss of resilience. Where those stressors
247 can be controlled by area-based protections, MPAs have the potential to help restore resilience in
248 the face of climate change. For example:

- 249 ▪ The harvesting of wood from mangrove forests can make coastal regions more
250 susceptible to damage from high-intensity storms, which are expected to become more
251 frequent. Healthy mangroves protect coasts from the effects of storm surge and tsunamis
252 (Stanturf *et al.* 2007).
- 253 ▪ Excessive fishing of pelagic fishes has been demonstrated to reduce the diversity of
254 pelagic ecosystems, which could render them less resilient. Large pelagic marine
255 reserves have been proposed as a mechanism to restore diversity and resilience in these
256 ecosystems, but have yet to be tested (Game *et al.* 2009).
- 257 ▪ Some species of seagrasses form vast mats that are tied together by rhizomes. Breaks in
258 the rhizomes increase the likelihood that high intensity wave action during storms can
259 uproot large portions of the mats (Björk *et al.* 2008). Protecting seagrass ecosystems
260 from damage by dredging, propellers and trawling may enhance their resilience to
261 increased storm intensities.
- 262 ▪ In the northwest Atlantic on the Scotian Shelf, overfishing has caused the benthic
263 environment to undergo a regime shift from a system dominated by large predatory fishes
264 (e.g., cod, haddock, and flounders) to one dominated by crustaceans and small fishes
265 (Frank *et al.* 2005). Benthic fish communities elsewhere also may be at risk of such a
266 regime shift. Protecting representative proportions of the benthic environment could
267 contribute to rebuilding resilience and reducing the likelihood of a regime shift.
- 268 ▪ Coastal habitats such as salt marshes, mud flats and mangroves, and their substrates are at
269 risk of being ‘flooded’ and eroded as sea levels rise. Those habitats will naturally adapt
270 by migrating landward as water depths change. However, without natural and protected
271 areas behind existing habitats (i.e., ‘set-back’) there will not be any place for those
272 habitats to go, and they will lose area as they are literally squeezed-out. In many places,
273 sea-level projections suggest large areas of such habitats will be lost (Karl *et al.* 2009).

274 There are two ways to maintain ecosystems in their desired state in consideration of the coastal
275 and marine impacts of climate change (Salm *et al.* 2006):

- 276 1) Reduce the degree of stress they experience, or, in other words, mitigate the cause of
277 climate change and acidification by reducing the concentrations of CO₂ in the atmosphere
278 and ocean. Large-scale climate change mitigation is largely beyond the reach of ocean
279 stewards. However, the oceans do play an important mitigative role. The ocean’s
280 vegetated habitats, particularly mangroves, salt marshes, and seagrasses, account for over

281 half of all carbon storage in ocean sediments, and carbon captured by these habitats are
282 stored in sediments for millennia. The protection of these coastal habitats, which may be
283 accomplished with the use of MPAs, would increase the capacity of the ocean to store
284 carbon.

285 2) Maximize the capacity of marine ecosystems to resist, adapt to, and recover from the
286 effects of climate change, or, in other words, to maximize the resilience of coastal and
287 ocean ecosystems to climate change. Here, MPAs serve an important role.

288

289 V. Designing MPAs and MPA Networks for Resilience

290 Marine protected areas are often established to preserve biodiversity, protect habitats, ensure
291 ecosystem integrity, promote sustainability of resources, and/or ensure the ongoing delivery of
292 ecosystem goods and services. A large number of empirical studies have demonstrated that the
293 biodiversity, density, biomass and size of some exploited species are, on average, increased in
294 marine reserves (MPAs that ban take) (Halpern 2003, Lester *et al.* 2009). In fact, there is little
295 question that habitats and ecosystems within MPAs benefit from protection from exploitation
296 and destructive and disruptive activities. It is expected that these benefits translate into a
297 maintenance or restoration of ecosystem condition and integrity. The design criteria that are
298 expected to improve the condition and integrity of MPAs have been well defined in numerous
299 publications and include risk-spreading (representation and replication), viability (siting, size,
300 shape), interaction (connectivity and networking) and ecosystem-based management (Roberts *et*
301 *al.* 2003, MPA FAC 2009).

302 Marine Protected Areas are promoted as a key tool for maintaining and restoring the resilience of
303 ecosystems in the face of climate change and increasing CO₂ levels (IUCN-WCPA 2008, MPA
304 FAC 2009). Ideas regarding how resilience can be enhanced and the role of MPAs in that
305 process have been developed primarily with respect to the impacts of coral bleaching due to
306 ocean warming (Graham *et al.* 2008, Keller *et al.* 2008), but the principles developed for coral
307 reefs can be adapted to other ecosystems.

308 The design of MPAs to protect ocean ecosystems and enhance their resilience to climate change
309 has several elements (adapted from McLeod *et al.* 2009). When MPAs are desired and
310 appropriate, they can be used alone or as one of an integrated suite of measures to achieve one or
311 more of the following objectives:

- 312 1. **Reducing non-climate stresses** – Reduce those human-caused stresses on marine
313 ecosystems that exacerbate or interact negatively with climate change to increase
314 ecosystem integrity and resilience, which should improve the capacity of ecosystems to
315 resist and recover from the impacts of climate change in the ocean.
- 316 2. **Protecting the least exposed** – Protect those ecosystems that are least exposed to climate
317 change in the ocean by siting MPAs where the effects of climate change are expected to be
318 less severe due to local conditions.

- 319 3. **Protecting the most resistant⁴ and adaptable** – Protect ecosystems affected by climate
320 change by selectively siting MPAs where organisms are expected to be naturally more
321 resistant or adaptable to climate change impacts.
- 322 4. **Protecting the most valuable** – Protect those resources at risk from climate change that
323 are especially valuable by siting MPAs to contain those resources. These include resources
324 that are unique or rare, or those that are ecologically, culturally, historically, socially or
325 economically important.
- 326 5. **Protecting resilient populations** – Ensure replenishment, viability and genetic diversity of
327 populations by designing MPAs and MPA networks to protect sufficiently large effective
328 population sizes to achieve these goals and, thereby, increase the chance of population
329 persistence.
- 330 6. **Making MPAs dynamic** – Site, design, and modify MPAs and MPA networks to maintain
331 protection of populations in anticipation potential habitat or species range shifts in response
332 to climate change.
- 333 7. **Maintaining connectivity** – **Site and design MPAs** to create ecologically connected and
334 functional networks that facilitate the range shifts of populations and the movements of
335 individuals and genes in response to climate change.
- 336 8. **Spreading risk** – Site and design MPA networks to spread the risk of catastrophic loss due
337 to the more extreme impacts of climate change by protecting a range of habitats and
338 replicating sites that include those habitat types.

339 **Reducing Non-Climate Stresses**

340 The relationship between human-caused environmental stressors and the condition and integrity
341 of many ocean ecosystems has been well documented. For purposes of illustration we take the
342 example of shallow-water, tropical coral reefs, which are dominated by hard corals and other
343 reef-building organisms and which support a high diversity of algae, invertebrates, fish and other
344 vertebrates. In these systems, algae that have the potential to overgrow corals are regulated by
345 the grazing of herbivorous fishes and invertebrates (e.g., urchins). Numerous studies have
346 documented the ecological extinction of herbivores on coral reefs due to excessive fishing
347 (fishes) and disease (urchins) (Mumby *et al.*, 2007). Released from control by grazers, several
348 species of algae overgrow the reef, smothering corals and other sessile organisms, and
349 preventing the reestablishment of corals primarily by occupying recruitment substrates. Theory
350 and experience suggest that an additional factor, such as a hurricane or warming-induced
351 bleaching event, may be needed to trigger a regime shift on such degraded and stressed reefs.

352 Reducing controllable human stress on a coral reef ecosystem will likely improve its resilience
353 and improve its capacity to recover from episodic stresses (e.g., storms and spikes in
354 temperature) caused by climate change. Better understanding of health-sustaining processes in
355 ecosystems and the human-caused stressors that impede those processes will provide managers
356 the tools and interventions needed to maintain or improve resilience of various ecosystems.

⁴ Ecological resilience represents the ability of an ecosystem to recover from change; resistance in this sense refers to the ability of an ecosystem to withstand change.

357 ***Protecting the Least Exposed***

358 The rate and extent of climatic changes will vary temporally and spatially (IPCC 2007b). For
359 any given ecosystem, some areas are likely to experience a lower intensity of climate change
360 impacts than average because: 1) there are large-scale or regional differences in the degree of
361 climate change, or 2) local conditions will ameliorate the regional average.

362 Climate change is a global process, but there is considerable spatial variation in the strength of
363 changes on a regional and local scale. In other words, there will be some areas in which kelp
364 forests, for example, will experience lower than average warming. Kelp forests, which harbor a
365 rich diversity of fishes, invertebrates and epiphytes, create critical shallow water coastal
366 environment found along of the cool water coasts of the world (Steneck *et al.* 2002). They also
367 can be abundant in deep tropical waters where light penetrates through clear surface waters to
368 cool, nutrient rich waters below (Graham *et al.* 2007). Establishing marine reserves in regions
369 that are anticipated to experience a reduced warming impact would help to maximize the
370 effectiveness of these areas as warming refugia. Likewise, pH is highly variable among space
371 and time, and some areas will retain a higher pH than others, providing potential refugia from the
372 negative impacts of increasing ocean acidification.

373 Thus, the placement of MPAs can take advantage of areas with low thermal stress or areas where
374 ocean acidification is low. The combination of lower thermal or acidification stress and reduced
375 stress from exploitation and destructive activities is expected to improve the chances that kelp
376 forests in those localities will survive ocean warming. This same approach should work to
377 identify portions of other ecosystems that are less susceptible and, therefore, are candidates for
378 protection. For example, monitoring and modeling will identify areas least likely to experience
379 significant coastal erosion due to the combined effects of sea level rise and increased storm
380 intensity, which could then be evaluated as potential refugia for seagrasses or mangroves.

381 ***Protecting the Most Resistant and Adaptable***

382 Living organisms and ecosystems respond and adapt to environmental stresses. Those organisms
383 living in high stress and highly variable environments may display greater resistance to new
384 stresses than those organisms found in relatively constant environments. For example, repeated
385 exposure to high thermal stress appears to be related to some coral species, populations and even
386 particular colonies being more resistant to bleaching and recovering more rapidly afterwards
387 (Hoegh-Guldberg *et al.* 2007). Establishing MPAs in locations that are likely to harbor
388 bleaching-resistant coral species could create refugia and sources of bleaching tolerant
389 phenotypes that will have a higher probability of surviving in the warmer ocean of the future.
390 Similarly, coastal habitats that experience wide ranges of stress from factors such as wave
391 intensity, erosion by currents, or fresh-water runoff, may be better candidates as coastal refugia.

392 ***Protecting the Most Valuable***

393 The projections of the impact of climate change suggest that it is likely that we will lose a large
394 number of species. Habitats and ecosystems may be degraded to the point of ecological
395 extinction. Thus, there may be a need to establish MPAs in sites where there are rare, unique, or
396 ecologically, historically, culturally, or economically important resources at serious risk from
397 climate change. Many of these resources will not be part of the 'least exposed' and 'most
398 resistant' groups, and yet may be some of the most valuable ocean resources to afford protection.

399 **Protecting Resilient Populations**

400 Overexploited populations may undergo internal ‘regime shifts’ that compromise their viability.
401 Further, climate change, which is already affecting the replenishment, viability and genetic
402 diversity of some populations, will add additional stress to fragile populations. Managing for
403 population resilience includes: protecting specific critical habitats, such as spawning and nursery
404 areas, that enhance stock viability and productivity; providing for conservation of competitors
405 and other species that naturally regulate population size; helping to make certain that a
406 reasonable number of big, old females survive; and protecting genetic diversity that enhances
407 stock adaptability, viability and productivity. To ensure effectively large population sizes over
408 ecological and evolutionary time scales, MPAs can be designed and managed to protect specific
409 critical habitats, protect species that regulate the abundance of target species, maintain the old-
410 growth age structure that enhances population replenishment, and protect genetic diversity that
411 enhances stock adaptability, viability and productivity.

412 **Making MPAs Dynamic**

413 There is already evidence that the geographic ranges of some marine organisms are shifting
414 poleward in response to ocean warming (Perry *et al.* 2005). In addition, some species may shift
415 their depth distributions to deeper water, and others generally may shift to less affected sites or
416 habitats in response to the full range of climate change effects. The rule of thumb for protecting
417 species is to place protected areas in the center of the range, where there is an expectation that
418 populations will have greater viability and individuals will be best adapted to local conditions.

419 Anticipating range/habitat shifts in response to warming, the dynamic siting of MPAs may have
420 to be shifted toward the poles and/or deeper water as the oceans warm further. Terrestrial
421 managers create corridors of protected habitat to allow species to migrate and habitats to
422 reassemble and reorganize. In the marine environment, because of the fluid nature of larval
423 dispersal, ‘stepping stones’ rather than corridors might be a better design analogy for the
424 distribution of protected areas. This approach has the potential to work along the west and east
425 coasts, but is not likely to work for species that are ‘trapped’ in the northern ends of south-facing
426 basins such as the Gulfs of Mexico and Alaska. It will also fail when the necessary substrate is
427 not available. For example, kelp forests may be trapped if there are long expanses to the north
428 without any hard substrates at the right depths. Another example is the coral reefs at the northern
429 end of Northwestern Hawaiian Islands, for which there are only abyssal depths to the north of the
430 chain. With no place to move to the north, their only option may be a depth shift. Further,
431 ecological interactions will strongly influence the potential for successful range expansion.

432 Because many populations will be moving in response to warming, we will see assemblages,
433 biotic communities, habitats and ultimately ecosystems shifting geographically and reorganizing
434 themselves as they move. The single species model focused on corridors or stepping stones does
435 not capture the complexity of the changes that will occur. A more apt model may be to design
436 the ‘stepping stones’ as networks of MPAs that anticipate these movements and the dynamic
437 reorganization that will occur over multiple scales.

438 **Maintaining Connectivity**

439 Habitat patches are not typically isolated or completely self-sustaining. Most patches are
440 connected (genetically and demographically) to other patches through the movement of larvae,

441 juveniles and/or adults. Recruitment of marine organisms occurs through a combination of
442 retention (larvae settling in their natal patch) and connectivity (larvae settling on other patches).
443 Some patches are primarily sources, others are sinks, but most both provide and receive recruits
444 to the population. Thus, the viability of most patches is partially dependent on the integrity and
445 productivity of the patch itself (retention), and on the integrity and productivity of other patches
446 (connectivity). Maintaining the integrity and resilience of ecosystems requires that the spatial
447 diversity and connections are maintained (Palumbi *et al.* 2003). This goal can be achieved with
448 the use of larger MPAs that encompass nearly the full complement of habitat types and patches,
449 and other ecosystems, or by effective networks of MPAs. Except in isolated, lightly exploited
450 areas (e.g., the Northwestern Hawaiian Islands) establishing suitably large MPAs has often
451 proven to be politically infeasible. An alternative is to establish a network of MPAs that, in
452 aggregate, provides protection for the full range of habitats, through the placement of individual
453 MPAs that provide the connectivity necessary to maintain ecological integrity.

454 Because climate change affects ocean structure and ocean circulation that drives larval dispersal,
455 it is highly likely that climate change will alter the connectivity patterns among the different
456 habitats and patches within an ecosystem. This implies that the design of MPA networks will
457 have to anticipate changes in connectivity patterns and dynamics. Given that information about
458 ecological connectivity is available for only a few places, this will be a difficult task.

459 **Spreading Risk**

460 ‘Representation’ and ‘replication’ are two general principles of MPA design that act to spread
461 the risk of stresses causing serious and irreparable harm. ‘Representation’ refers to the design
462 objective of protecting optimal numbers or proportions of various types of a given resource, such
463 as ecosystems, habitats, species or populations. The goal is to preserve the range of biodiversity
464 (genetic, species, habitat, landscape) in the system. Implementing this objective affects the
465 siting, number and size of MPAs. Larger MPAs are likely to have a greater diversity of
466 resources, but are also likely to impose a greater cost on ocean users. Alternatively, the same
467 end often can be achieved by the careful establishment of multiple, smaller MPAs, as is being
468 done in California. ‘Replication’ refers to a design objective to protect multiple examples of
469 each given type of a resource. The goal is to minimize the chance that large, severe stresses
470 could wipe-out a particular resource type because it occurs primarily in one location.

471 Faced with significant uncertainty about where, when and which species, habitats and
472 ecosystems are most vulnerable and likely to be lost, the cautious, risk-averse approach would be
473 to protect as much of the diversity as possible – ‘representation’. Similarly, because we cannot
474 accurately predict the spatial distribution of vulnerability to climate change, establishing multiple
475 MPAs that protect given species, habitats or ecosystems should be an effective way of reducing
476 the risk of losing everything – ‘replication’. As always, trade-offs must be weighed on multiple
477 time scales between the potential benefits of increasing the size or number of protected areas
478 versus the potential costs associated with loss of access.

479

480

481 **VI. Ecosystem-Based Management and Coastal and Marine**
482 **Spatial Planning**

483 Ecological integrity and resilience are ecosystem properties, and, therefore, if the management of
484 resources and environments under stress from climate change is to be effective it will have to be
485 ecosystem-based. The potential severity of impacts and a high degree of uncertainty in
486 predicting the progress of climate change at regional or local scales (i.e., the scales at which
487 MPAs are managed) make it even more important to incorporate the key elements of ecosystem-
488 based management – area-based, precautionary and adaptive management. The benefits of
489 MPAs have been vigorously debated for decades. However, one role that has been widely
490 accepted is the capacity for MPAs to buffer uncertainty. More generally, a precautionary or risk-
491 management approach to management is likely to be called for in the face of climate change.
492 Ecosystems and resources are already changing in ways that we have never experienced, which
493 increases the importance of implementing adaptive management. Management measures will
494 have to be implemented and assessed in an experimental manner, which should include the
495 monitoring of resilience indicators and conducting of research to increase understanding and
496 reduce uncertainty of key processes. For MPAs, this means carefully considering the timeframe
497 of an adaptive management cycle, making boundaries and objectives more flexible, and possibly
498 designing dynamic systems of MPAs. Further, such efforts should be considered in the context
499 of a broader coastal and marine spatial plan.

500

501 **VII. Conclusion**

502 The oceans and the atmosphere are inextricably linked. Climate change poses a serious threat to
503 our marine ecosystems and resources today and into the future. Many of these same marine
504 ecosystems and resources, now facing new threats from climate change, have been significantly
505 degraded over time by other human stressors, and are less likely to be resilient to the impacts of
506 climate change than healthy ecosystems. The ability of the oceans to maintain life-sustaining
507 processes, threatened in many ways by both the direct effects of increased CO₂ levels and the
508 indirect effects of a changing climate, necessarily affects the sustainability of numerous
509 ecosystems. Compared with terrestrial ecosystems, marine ecosystems are considered highly
510 sensitive to climate change (WBGU 2006). Further, due to geophysical time lags, many of the
511 impacts of climate change, including warming, are likely to persist in the oceans for thousands of
512 years (IPCC 2007b). For MPAs, design and management reforms should be sought and secured
513 as a matter of priority, so that these management tools may better enable us to meet the
514 challenges posed by a changing climate, sustain the vitality of the marine environment, and
515 protect human lives and livelihoods that depend on the ocean. Based on this climate change
516 impacts review, the Scientific and Technical Subcommittee of the MPA FAC recommends that
517 specific recommendations on how to effectively manage the National System of MPAs in
518 consideration of anticipated climate change impacts on our marine resources be developed by the
519 MPA FAC and communicated to the Secretaries of the Departments of Interior and Commerce.

Appendix I

Glossary

Ecosystem Integrity: A state in which the species composition, community structure, functional relationships, ecological processes and adaptability that are characteristic of natural ecosystems unaffected or unimpaired by human stresses.

Ecological Resilience: “The capacity of an ecosystem or natural population to resist or recover from major changes in structure and function following natural and human-caused disturbances, without undergoing a shift to a vastly different regime that is undesirable and very difficult to reverse from a human perspective.” (MPA FAC 2009)

Marine Reserves: A type of MPA where extractive uses are prohibited (also referred to as “no-take” reserve) (MPA Center 2008).

Refugia: Areas that have escaped ecological changes occurring elsewhere and so provide suitable habitats for threatened, endangered and relict species.

Regime Shift: A relatively quick, large-scale shift to a drastically different ecosystem state.

Replication: Protecting multiple refuges for insurance against catastrophic loss (MPA Center 2008).

Representation: Protecting refuges for high-priority ecosystems and populations (MPA Center 2008)

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